



REA Central Validation Service

Getting started - Registering your organization

Presentation Outline

REA Central
Validation Service

Registration of
participants

Legal validation and
Legal entity
appointed
representative
(LEAR)

Communication


Guidance
documents

REA Central Validation Service (REA CVS)

- Verifies **legal existence and legal statuses** of entities
- Validates the appointment of **Legal Entity Appointed Representatives (LEARs)**
- Validates **legal changes** of validated entities
- Assesses **universal takeovers (UTROs)** of validated entities
- Encoding **Bank Account requests**
- Prepares the **Financial Capacity Assessment**
- Performs **ownership control assessments** for specific programmes
- Performs ex-post status verifications (e.g. **SME & MID cap status checks**)

Is your organisation already registered? PIC search


Please check whether your organisation has already been registered. If so, no need to register it again.

 Find a registered organisation

Register your organisation

To register your organisation or as a natural person, you need to login into the Portal or, if you are a new user, create your account.

Check what information you need to register in the Online Manual - and keep it to hand during the registration procedure. To start registration, click on the button below.

 [Register your organisation](#)

You can suspend the registration process at any time: the data entered can be saved as draft and you can continue your registration later. To return to your registration, please log in and get into My Organisation(s) in the My Area section. Incomplete, draft registrations are automatically deleted after one year.

Additionally, for actions where the SME status is an eligibility criterion (like, e.g. the EIC Accelerator) you must also carry out the SME self-assessment.

Should your project or tender be successfully evaluated, the registered data will be verified by the Central Validation Service team before the signature of the Grant Agreement or of the Framework Contract.

How to register in the Participant Register

Participant's Register [Need help?](#)

1 2 3 4 5 6

Identification Organisation Data Legal Information Authorised Users Summary Success

Identification

Legal name * 240

Registration country * 50

Registration number 20

VAT number * 500 ☐ not applicable

[Review the Form](#) [Next](#)

Identification

(e.g. Legal name, VAT number)



Organisation data



Legal information



Authorised users

(e.g. Name, e-mail address of the self-registrant and the back-up)

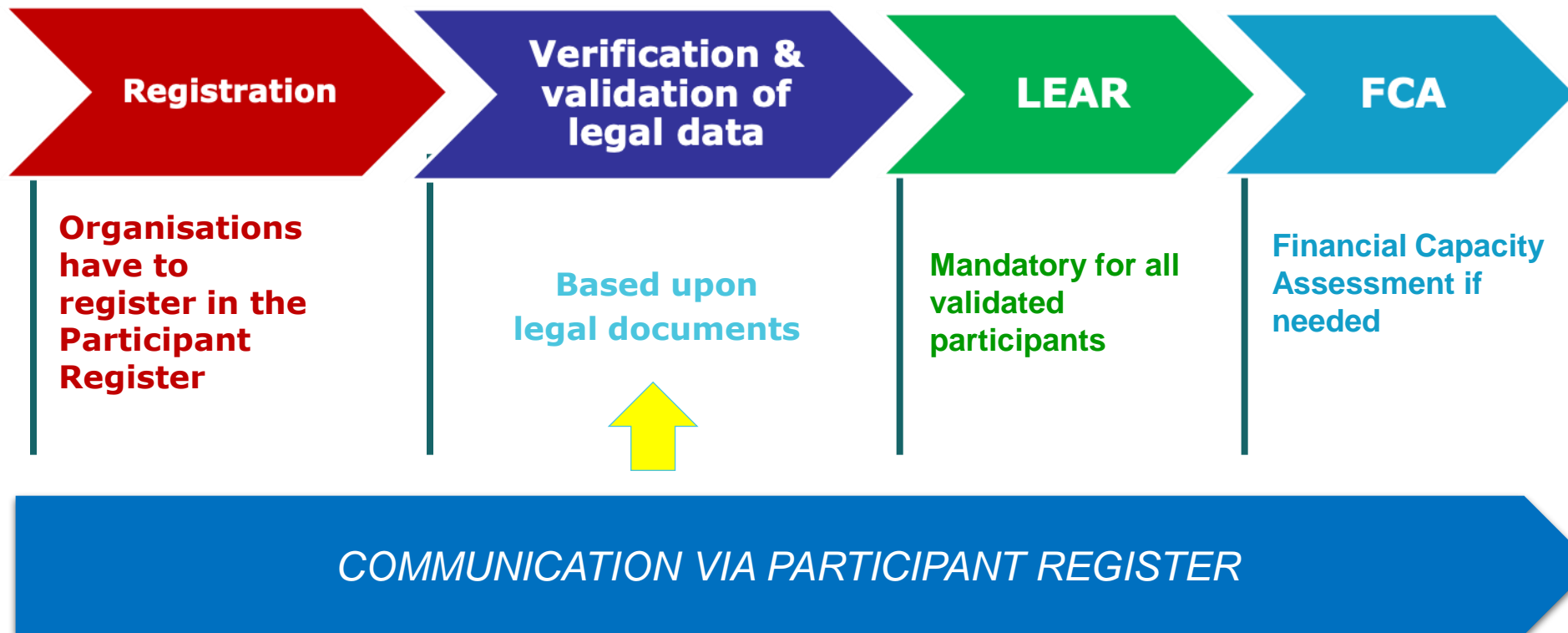


Registration completed



New Participant Identification Code (PIC)
in a "declared" status

Validation Process Overview



Legal validation

- Registration data is verified by REA Central Validation Service before the signature of the Grant Agreement or the Contract
- The legal validation of a participant is done **once**, when the entity has to sign its first Grant Agreement or Contract and it is **reused** for future participations in EU grant and procurement actions
- Validation is always performed on the basis of supporting documents, in accordance to EU Financial Regulation and the Rules on Legal Entity Validation, LEAR Appointment and Financial Capacity Assessment for EU Grants and Tenders

Legal validation documents

- ✓ **VAT extract** (< 1 year)

- ✓ *If not registered for VAT – proof of VAT exemption*

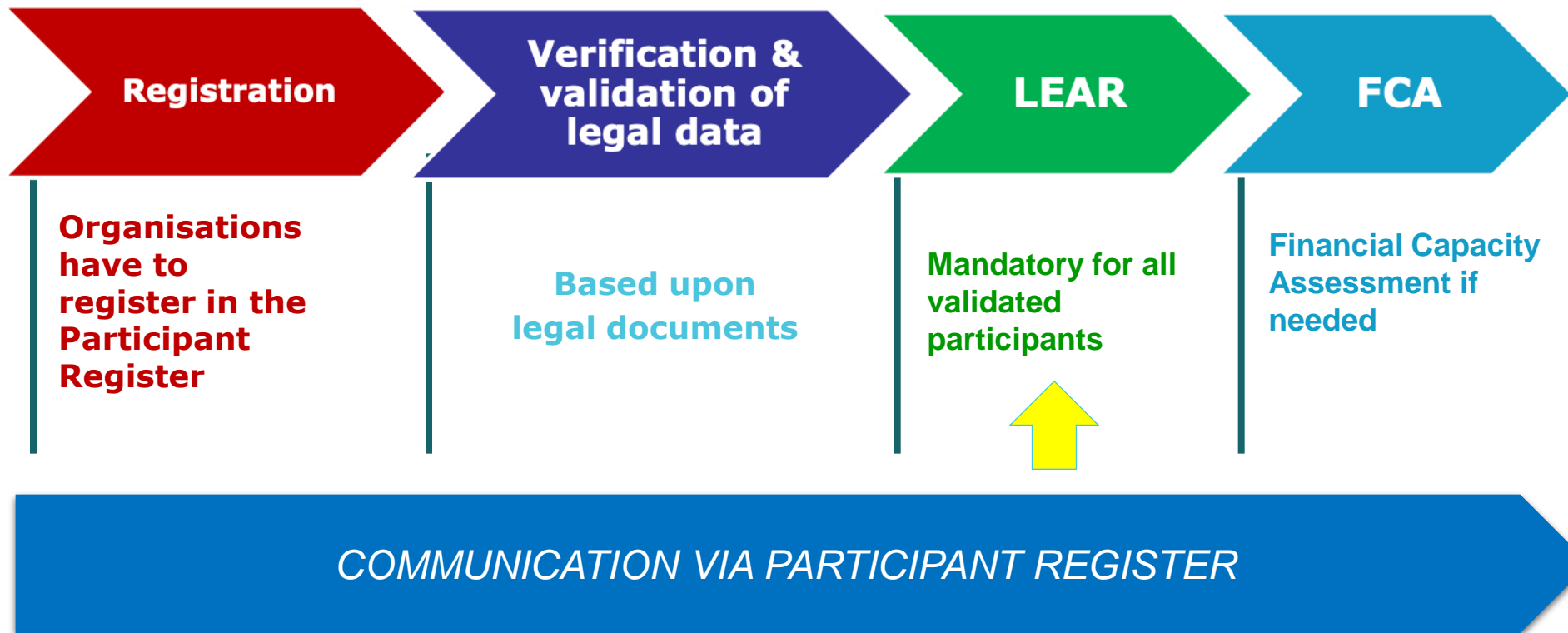
- ✓ **Registration extract** (< 1 year) – for private law bodies

- ✓ **Law/decreree/decision** – for public law bodies

- ✓ **Treaty** – for international organisations

- ✓ **Statutes** – for non-profit organisations

Validation Process Overview



LEAR role and duties

The appointment of the Legal Entity Appointed Representative (LEAR) is mandatory:

The LEAR:

- Notifies the EU of changes in legal data/status
- Submits legal and financial documents if required
- Manages access rights of persons in the organisation (but *not* at the project level)
- Nominates 'Account Administrators' and individuals authorised to sign:
 - ✓ Contracts/grant agreements and their amendments (Legal signatories, i.e. **LSIGN**)
 - ✓ Financial statements (Financial signatories, i.e. **FSIGN**)

LEAR appointment documents

1. LEAR appointment letter (duly signed by both the legal representative and the LEAR)
2. Official proof of identity (ID-card/passport with photo and signature) of the legal representative and the LEAR
3. Proof of empowerment of the legal representative

Scanned version of these documents shall be uploaded in the Participant Register. Original of the LEAR appointment letter (1) must be kept in the entity's premises.

Communication

(e.g. request to submit legal documents or to appoint a LEAR)

Messages are notified via e-mail to the contact person
(i.e. self-registrant or the appointed LEAR)

European Commission <EC-NO-REPLY-GRANT-MANAGEMENT@nomail.ec.europa.eu>

to me ▾

Europa / Funding & Tenders Portal notification

Dear User,

You have been granted the role of **Self Registrant** for the organisation arquicios.

In order to access your organisation data on the Funding & Tenders Portal/Supplier portal, you need to log in on the F&T Portal/Supplier Portal. If you did not have an EU Login yet, it was launched automatically for a separate e-mail with a hyperlink to finalise your account.

For more information on the Funding & Tenders Portal roles, please refer to the [Online Manual](#) if you participate in the [programmes managed on the Funding & Tenders Portal](#).

For more information on the roles for e-Procurement, please refer to the [e-Procurement wiki page](#), if you participate in a tender call.

With kind regards,

EU Single Electronic Data Interchange Area - F&T Portal team

This email has been auto-generated. Please do not reply to this account. Your email will not be read. For any inquiries please contact the Grants Procurement Systems Support +32 (2) 29 71063 or EC-FUNDING-TENDER-SERVICE-DESK@ec.europa.eu.

All communication is exclusively managed through the Participant Register

Access lost to a declared or valid PIC

Declared PIC

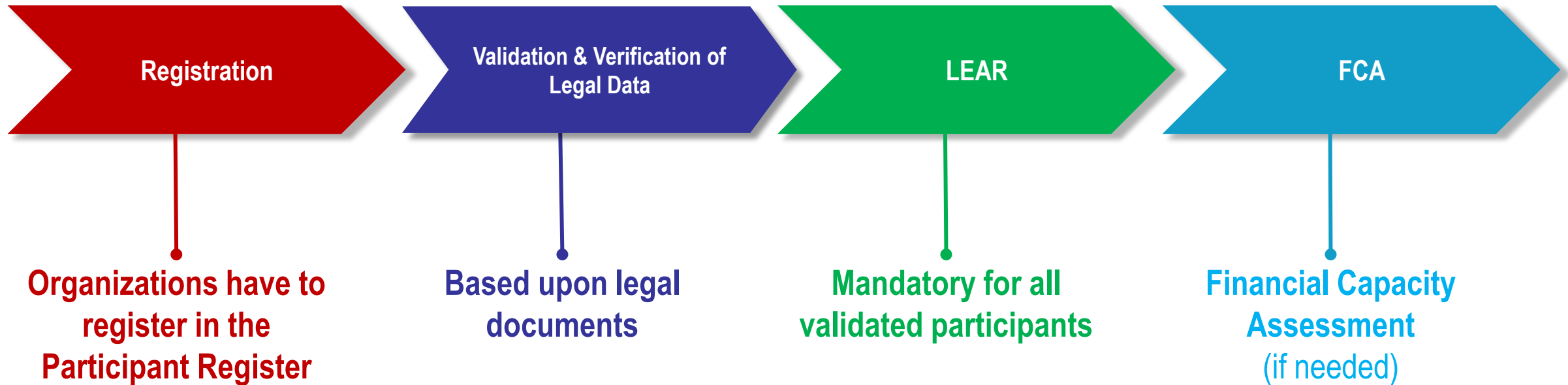
- In case the self-registrant left the organisation and no one has access to a declared PIC – a new PIC needs to be created and REA CVS informed

Valid PIC

- If the LEAR is not available anymore and there are no Account Administrators, a new LEAR needs to be appointed – LEAR recovery procedure

<https://ec.europa.eu/research/participants/urf/lear-recovery/request/>

Validation Process Overview



COMMUNICATION VIA PARTICIPANT REGISTER

Financial Capacity Assessment (FCA)

Legal basis



Financial Regulation
(articles 199 and 201)



**Rules on Legal Entity Validation, LEAR Appointment
and Financial Capacity Assessment for EU Grants
and Tenders**



Call for Proposals
("Financial & operational capacity and exclusion")

Registration

Validation & Verification of
Legal Data

LEAR

FCA

Financial Capacity Assessment (FCA)

Legal basis



Rules on



Call for Proposals

FINANCIAL REGULATION

applicable to the general
budget of the Union (recast)

Budget

Registration

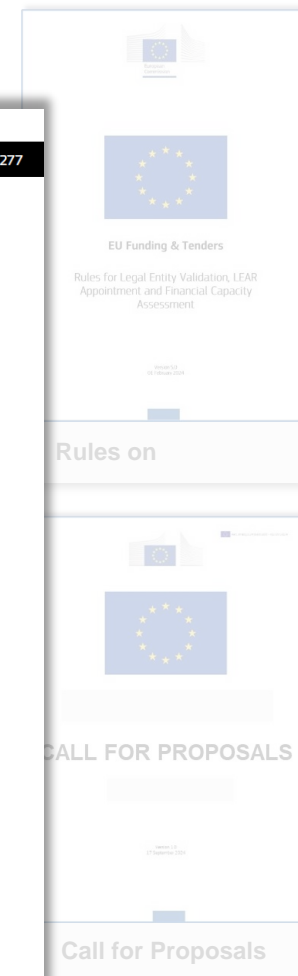
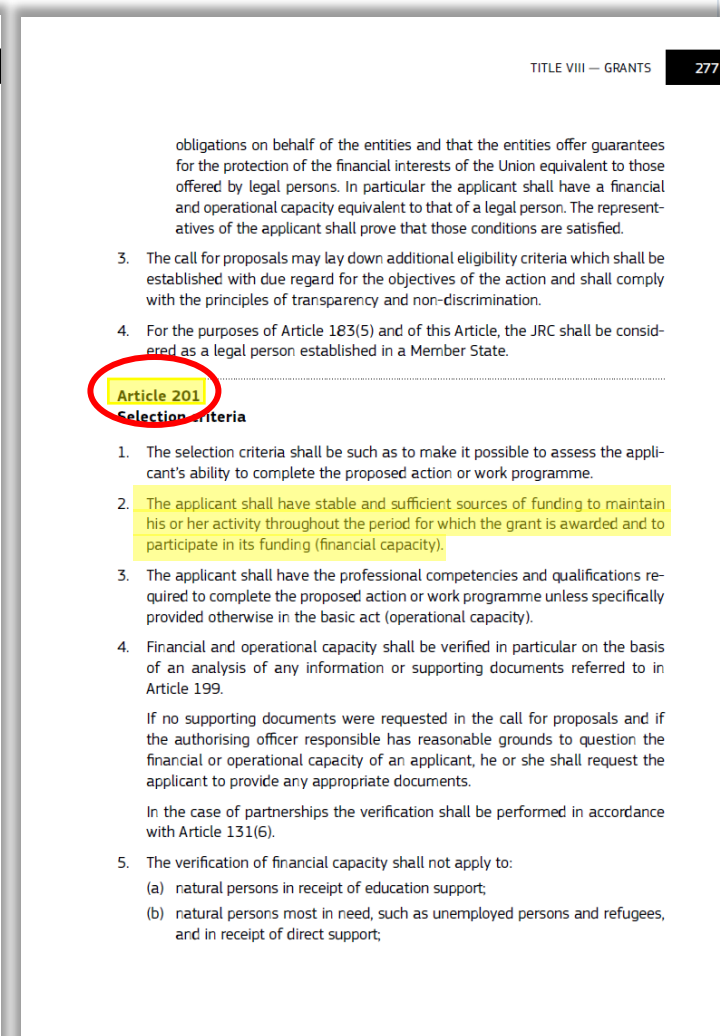
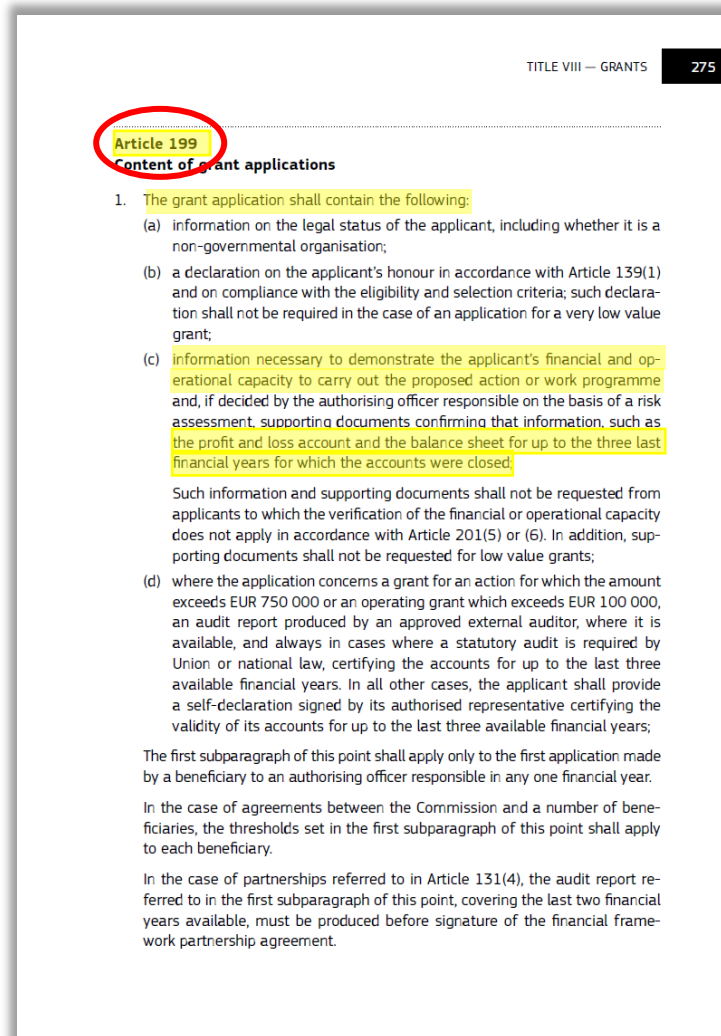
Validation & Verification of
Legal Data

LEAR

FCA

Financial Capacity Assessment (FCA)

Legal basis



Registration

Validation & Verification of
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Legal basis



FINANCIAL REGULATION

applicable to the general
budget of the Union (recast)

Budget

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Financial Capacity Assessment (FCA)

Legal basis



EU Funding & Tenders

Rules for Legal Entity Validation, LEAR
Appointment and Financial Capacity
Assessment

Version 5.0
01 February 2024



Call for Proposals



Financial Regulation

Registration

Validation & Verification of
Legal Data

LEAR

FCA

Financial Capacity

Legal basis



EU Funding & Tenders

Rules for Legal Entity Validation, LEAR Appointment and Financial Capacity Assessment

Version 5.0
01 February 2024

EU Funding & Tenders: Rules for LEV, LEAR Appointment and FCA: V5.0 – 01.02.2024

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Call for Proposals



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Call for Proposals



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Financial Regulation



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Financial Regulation



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CALL FOR PROPOSALS

1. INTRODUCTION
- 1.1. Introduction
- 1.2. Policy Context
2. OBJECTIVE(S)
3. TIMETABLE
4. BUDGET AVAILABILITY
5. ADMISSIBILITY
6. ELIGIBILITY
- 6.1. Eligible applicants
- 6.2. Eligible countries
- 6.3. For British citizens
- 6.4. Implementation
7. EXCLUSION CRITERIA
- 7.1. Exclusion grounds
- 7.2. Remedial measures
- 7.3. Rejection
- 7.4. Supporting documents
8. SELECTION CRITERIA
- 8.1 Financial capacity
- 8.2 Operational capacity
9. AWARD CRITERIA
10. LEGAL COMMITMENT
11. ADMINISTRATIVE
12. FINANCIAL PROVISIONS
- 12.1 General provisions
- 12.2 Funding for

8. SELECTION CRITERIA

8.1 Financial capacity

Applicants must have stable and sufficient sources of funding to maintain their activity throughout the duration of the grant and to participate in its funding. The applicants' financial capacity will be assessed on the basis of the following supporting documents:

a) **Low value grants (≤ EUR 60 000):**

- a declaration on their honour.

b) **Grants > EUR 60 000:**

- a declaration on their honour and,

EITHER

- the profit and loss account, the balance sheet for the last financial year for which the accounts were closed;

- for newly created entities, the business plan might replace the above documents.

OR

- the table provided for in the application form, filled in with the relevant statutory accounting figures, in order to calculate the ratios as detailed in the form.

In the event of an application grouping several applicants (consortium), the above thresholds apply to each applicant.

The above-listed documents will have to be provided at later stage, via the electronic submission tool and only upon request

On the basis of the documents submitted, if the authorising officer considers that financial capacity is weak, s/he may:

- request further information;
- decide not to give pre-financing;
- decide to give pre-financing paid in instalments;
- decide to give pre-financing covered by a bank guarantee (see section 12.4 below);
- where applicable, require the joint and several financial liability of all the co-beneficiaries⁴⁷;

If the authorising officer considered that the financial capacity is insufficient s/he will reject the application/relevant applicant.

⁴⁷ The beneficiaries are advised to provide for this situation also in the consortium agreement (see Article 25 of the model Grant agreement).



Financial Regulation



Rules on

Registration

Validation & Verification of
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Financial Capacity Assessment (FCA)

Legal basis



Financial Regulation



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Financial Capacity Assessment (FCA)

Legal basis



Financial Regulation
(articles 199 and 201)



**Rules on Legal Entity Validation, LEAR Appointment
and Financial Capacity Assessment for EU Grants
and Tenders**



Call for Proposals
("Financial & operational capacity and exclusion")

Registration

Validation & Verification of
Legal Data

LEAR

FCA

Financial Capacity Assessment (FCA)

When is the FCA needed?

✓ **all beneficiaries**, except for:

public bodies (including local, regional or national authorities)

individual grant requests of **less than 60,000 EUR**

✓ **may be requested** for other beneficiaries/ affiliated entities

Registration

Validation & Verification of
Legal Data

LEAR

FCA

FCA supporting documents

(via Participant Register)

✓ Balance sheet

Balance Sheet

- ASSETS
- LIABILITIES
- EQUITY

Registration

Validation & Verification of
Legal Data

LEAR

FCA

FCA supporting documents

(via Participant Register)

✓ Balance sheet

✓ Profit and loss account

Balance Sheet

**Profit & Loss
account**

- REVENUES
 - EXPENSES
-
- Net result

Registration

Validation & Verification of
Legal Data

LEAR

FCA

FCA supporting documents

(via Participant Register)

- ✓ Balance sheet
- ✓ Profit and loss account
- ✓ Explanatory notes and/or annexes (if available)

Balance Sheet

**Profit & Loss
account**

**Explanatory
notes**

**Annexes
to the FS**

Details on accounts

Breakdown on items

Additional explanations

Registration

Validation & Verification of
Legal Data

LEAR

FCA

FCA supporting documents

(via Participant Register)

✓ Balance sheet

✓ Profit and loss account

✓ Explanatory notes and/or annexes (if available)

✓ Financial audit report

Financial Audit report

Opinion

- Unqualified
- Qualified
- Adverse
- Disclaimer

Balance Sheet

Profit & Loss account

Explanatory notes

Annexes to the FS

Registration

Validation & Verification of
Legal Data

LEAR

FCA

FCA supporting documents

(via Participant Register)

✓ Balance sheet

✓ Profit and loss account

✓ Explanatory notes and/or annexes (if available)

✓ Financial audit report

...or Self Declaration on the accounts

Action grants > 750.000 €
Operating grants > 100.000 €

(article 199 Financial Regulation)

Financial Audit report

Opinion

- Unqualified
- Qualified
- Adverse
- Disclaimer

Self Declaration on the accounts

I, the undersigned
declare that the
accounts are:

- VALID
- no audit required

Signed

Balance Sheet

Profit & Loss account

Explanatory notes

Annexes to the FS

Registration

Validation & Verification of
Legal Data

LEAR

FCA

FCA supporting documents

(via Participant Register)



Financial Regulation

Annexes (if available)

Self Declaration on the accounts

0000 €

0000 €

Article 199 Financial Regulation)

Financial Audit report

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Balance Sheet Profit & Loss account

Explanatory notes

Annexes to the FS

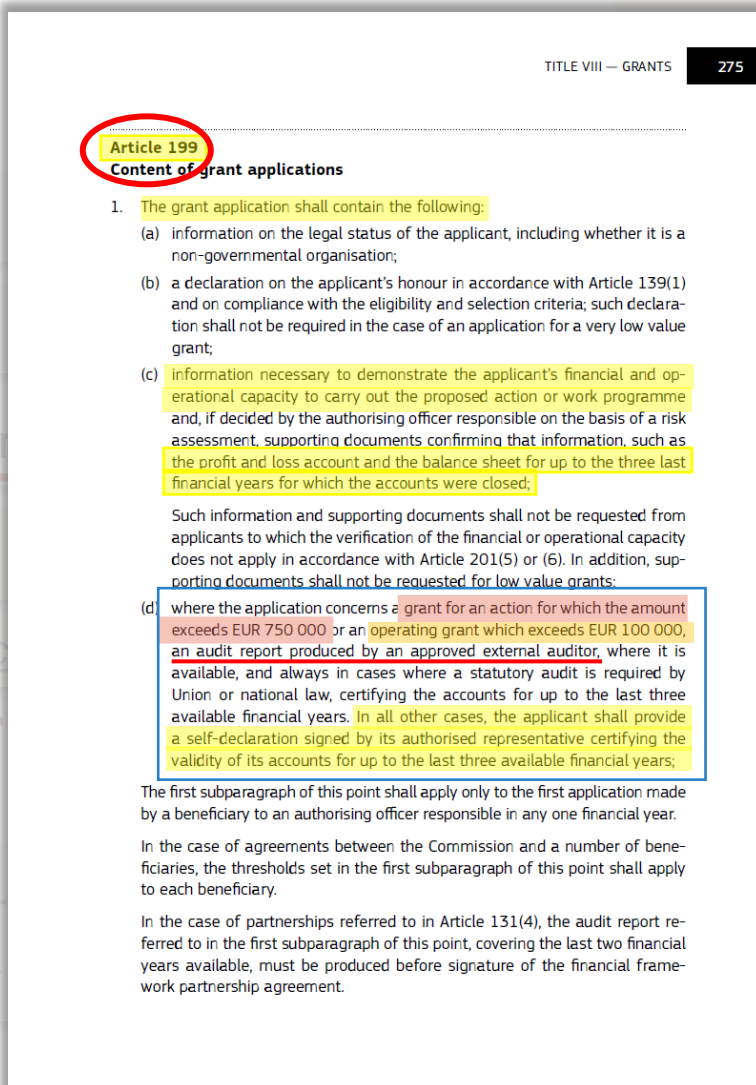
Registration

Validation & Verification of Legal Data

LEAR

FCA

FCA supporting documents (via Participant Register)



Financial
Audit report

Balance Sheet
Profit & Loss
account

Explanatory
notes
Annexes
to the FS

Declaration
of the accounts

Undersigned
that the
accounts are:
true and
correct
audit required

Registration

Validation & Verification of
Legal Data

LEAR

FCA

FCA supporting documents

(via Participant Register)

✓ Balance sheet

✓ Profit and loss account

✓ Explanatory notes and/or annexes (if available)

✓ Financial audit report

...or Self Declaration on the accounts

Action grants > 750.000 €
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(article 199 Financial Regulation)

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Balance Sheet

Profit & Loss account

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Registration

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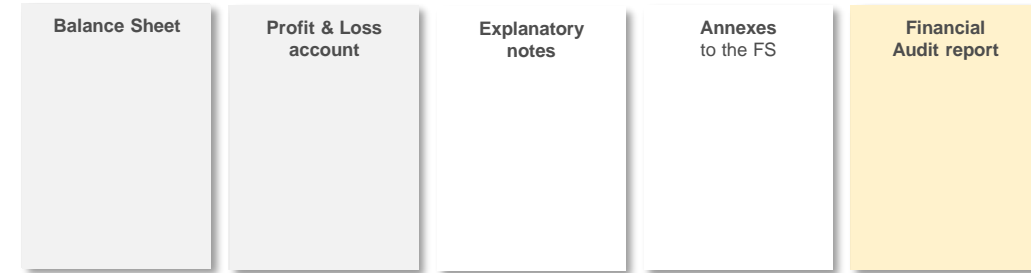
LEAR

FCA

FCA supporting documents

(via Participant Register)

- ✓ Balance sheet
- ✓ Profit and loss account
- ✓ Explanatory notes and/or annexes (if available)
- ✓ Financial audit report



Registration

Validation & Verification of
Legal Data

LEAR

FCA

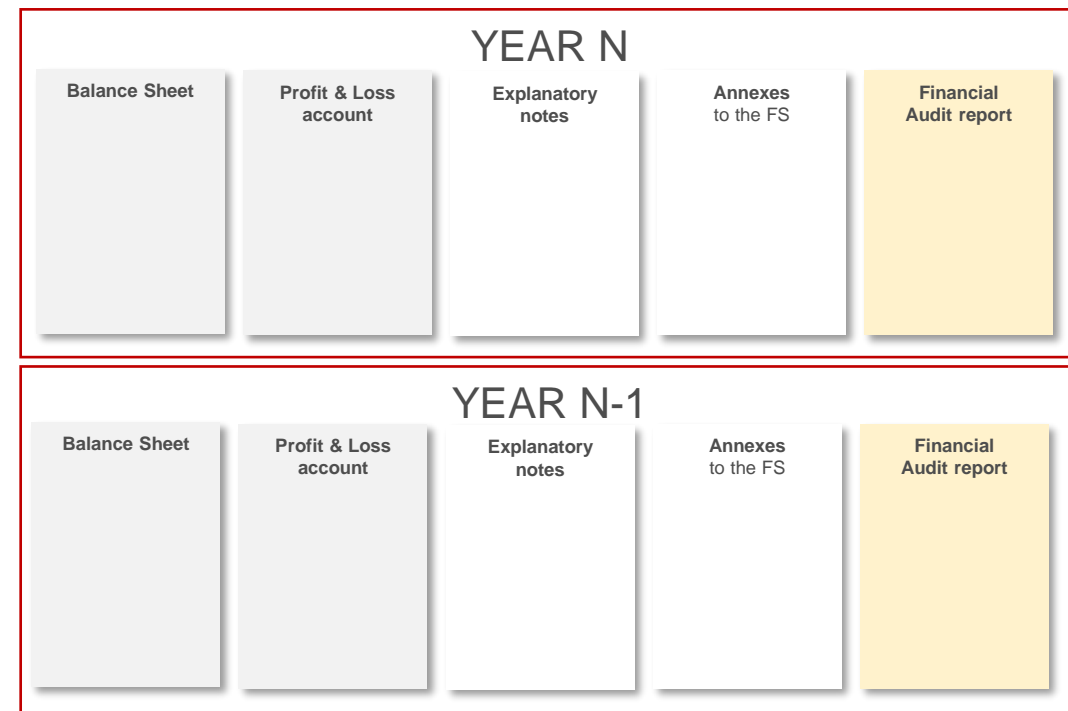
FCA supporting documents (via Participant Register)

✓ Balance sheet

✓ Profit and loss account

✓ Explanatory notes and/or annexes (if available)

✓ Financial audit report



For the 2 most recent closed and approved financial years

- official language (EU languages)
- translation in English (non-EU languages)
- dated
- signed

Registration

Validation & Verification of
Legal Data

LEAR

FCA

FCA supporting documents (via Participant Register)

✓ Balance sheet

✓ Profit and loss account

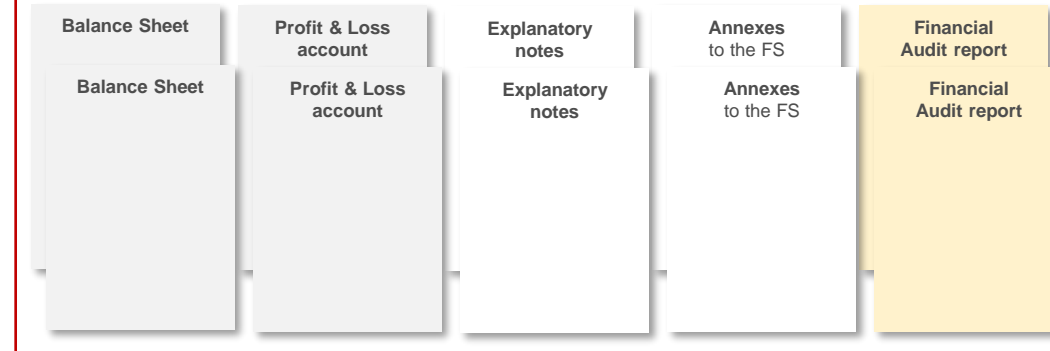
✓ Explanatory notes and/or annexes (if available)

✓ Financial audit report

Newly established entities

✓ Business plan

YEAR N and YEAR N-1



For the 2 most recent closed and approved financial years

- official language (EU languages)
- translation in English (non-EU languages)
- dated
- signed

Business plan

Forecasts on:

- Sales
- Expenses
- Investments
- Financing sources

.....

Registration

Validation & Verification of
Legal Data

LEAR

FCA

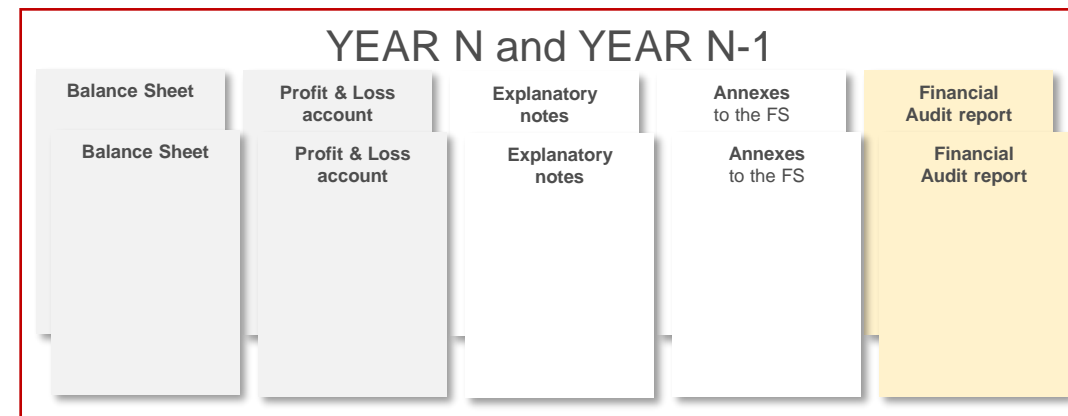
FCA supporting documents (via Participant Register)

✓ Balance sheet

✓ Profit and loss account

✓ Explanatory notes and/or annexes (if available)

✓ Financial audit report



For the 2 most recent closed and approved financial years

- official language (EU languages)
- translation in English (non-EU languages)
- dated
- signed

Newly established entities

✓ Business plan

Business plan

Once completed, the assessment is valid for **18 months** from the closing date

Registration

Validation & Verification of
Legal Data

LEAR

FCA

Guidance documents



Rules on Legal validation, LEAR appointment and financial capacity assessment:

https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/rules-lev-lear-fca_en.pdf



How to register in the Participant Register:

<https://webgate.ec.europa.eu/funding-tenders-opportunities/display/OM/Online+Manual>



Online Manual, IT How to, IT and RES Helpdesk and specific FAQs on the Funding and Tenders Portal:

<https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/support/support>



Legal notice on the Funding and Tenders Portal (terms and conditions, data protection):

<https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/support/legalnotice>

Thank you for your attention!

Questions?